

CITY OF ATLANTA

OFFICE OF CONTRACT COMPLIANCE 55 TRINITY AVENUE, SW, SUITE 1700 ATLANTA, GEORGIA, 30303 OFFICE (404) 330-6010 FAX (404) 658-7359

THE EQUAL BUSINESS OPPORTUNITY PROGRAM CERTIFICATION AFFIDAVIT

CORPORATION

Dear Prospective Minority, Female Business Enterprise Applicant:

Thank you for your interest in becoming a certified participant in the City of Atlanta Equal Business Opportunity (EBO) Program as an African American Business Enterprise (AABE), a Female Business Enterprise (FBE), a Hispanic Business Enterprise (HBE), an Asian Business Enterprise (ABE) or a Native American Business Enterprise (NABE).

ALL questions on the certification application must be answered completely and ALL requested documentation must accompany the application. Submit the completed application and documentation to the Office of Contract Compliance. Failure to complete portions of the application and provide the required documentation will delay the certification process or result in denial of certification.

The information on the application must be true and accurate to the best of the applicant's knowledge. The application must be signed and notarized. The information requested is for use by the Office of Contract Compliance only and will be kept confidential to the extent allowable by law.

Your business must be located within one of the following ten county areas to be considered for certification in the City of Atlanta Equal Business Opportunity Program. The twenty county areas includes: Barrow, Bartow, Carroll, Cherokee, Clayton, Coweta, Cobb, DeKalb, Douglas, Fayette, Forsyth, Fulton, Gwinnett, Henry, Newton, Paulding, Pickens, Rockdale, Spalding and Walton counties.

If your company is denied certification, you have the right to appeal the decision in accordance with the City of Atlanta Code of Ordinances §2-1456.

If you have any questions please contact the Office of Contract Compliance at (404) 330-6010.

DOCUMENTS TO BE SUBMITTED

Required Do	ocuments for All Applicants:
1. Bank	Signature Card
2. Proof	f of Minority or Female Status (birth certificate with Picture I.D. or Passport)
	of current Business License which shows that company is located in one of the following 20 ties: Barrow, Bartow, Carroll, Cherokee, Clayton, Cobb, Coweta, DeKalb, Douglas, Fayette,
	th, Fulton, Gwinnett, Henry, Newton, Paulding, Pickens, Rockdale, Spalding, and Walton
	ent résumé of all principals of company showing Education, Training, Employment and
	rience with dates
-	ide copy of the lease, rental, or management agreement for business premises,
	ading local business telephone number
	nnizational Chart
7. Ema	
8. Tax I	
	pplicants must choose between one (1) and three (3) NAICS codes from the list below
	L (web) Address
	mber of employees
	s will not be processed without this information
Δ Δddition	al Requirements for a Corporation
	ious two years Federal Corporate Tax returns including all schedules
	ificate of Incorporation, and Articles of Incorporation, including Amendments
	utes of First Corporate Organizational meeting
	utes of this Corporate Organizational meeting utes of any subsequent meeting during which changes in the ownership and/or
	agement of corporation are discussed
	oorate By-Laws y of all stock certificates issued to date (include front and back sides of any canceled
	eplaced certificates (do not include a specimen copy)
	y of corporate stock ledger
	u are incorporated outside the State of Georgia, include a copy of the firm's
•	ificate of Authority to conduct business in the State of Georgia
CCIT	meate of Mulionty to conduct business in the state of Georgia
B. Additiona	al Requirements for a General Partnership
	ious two years Federal Partnership Tax returns, Form 1065, including all schedules
	ership Agreement and Amendments which reflect change in ownership or profit
shari	
	out rights agreement (if separate)
	it Sharing agreement (if separate)
	f of capital invested (canceled checks, front and back)
	rtnership was organized outside the State of Georgia, provide Certificate
	uthority to do business in Georgia
C. Additiona	al Requirements for a Limited Partnership
1. Prev	ious Two years Federal Partnership Tax returns, Form 1065, including all
sched	lules
2. Partı	nership Agreement and Amendments which reflect change in ownership or profit
shari	
3. Buy-	out rights agreement (if separate)
	it Sharing agreement (if separate)
	f of capital invested (canceled checks, front and back)
6. Certi	ificate of Limited Partnership
7. Certi	ificate of Existence
8. If Li	mited Partnership was organized outside the State of Georgia, provide Certificate of Authority
to do	business in Georgia

D. Additional Requirements for a Sole Proprietor
1. Previous two years Federal Tax returns including all schedules
2. Equipment rental and purchase agreement (if applicable)
3. Proof of capital invested (canceled checks, front and back)
E. Additional Requirements for a Limited Liability Company
l. Copy of the Article of Organization and the Certification of Organization
2. Copy of the Statement of Organizers
3. Copy of the Operation Agreement and all Amendments thereof
4. Proof of capital invested (canceled checks, front and back)
5. Prior two years of Federal Tax Returns of Limited Liability Company, including all
schedules
6. If Limited Liability Company was organized outside the State of Georgia, provide certificate of authority to do business in Georgia
7. Certificate of Existence
8. If LLC is a conversion of another form of business - include Certificate of Election from
Georgia Secretary of State

The EBO Affidavit and all supporting documents must be submitted together. All supporting documents relevant to your legal form of business enterprise (corporation, general partnership, limited partnership, sole proprietor or limited liability company) must also be submitted with the EBO Affidavit. Failure to submit all the required documentation will result in a delay in the processing or denial of certification of your business.

Please submit all completed documents bound with alphabetized tabs to:

City of Atlanta Office of Contract Compliance 55 Trinity Avenue, SW, Suite 1700 Atlanta, Georgia 30303-0321

Available NAICS Codes For Certification

Business Type	NAICS	Business Type	NAICS
	Code		Code
Accounting	5412	Debt Collection	561440
Advertising/Marketing	541810	Demolition	238910
Airport Services	488119	Development	926110
Architecture	541310	Drywall	238310
Asbestos Abatement	562910	Educational Services	61
Attorneys	541110	Electrical Contracting	238210
Audio Visual Services/Audio	443112	Electrical Supplies	444190
Visual Supplies			
Automotive	8111	Elevator Services	238290
Sales/Supplies/Services			
Background Investigation	5616	Employment Services	5613
Banners	314999	Engineering	541330
Bridges/Tunnels	237990	Environmental Consultant	541620
Business Consultant	541611	Equipment Supplies	421610
Cable Services	515210	Erosion Control	237310
CADD	541512	Excavation	238910
Carpentry	238350	Hauling/Trucking	484110
Catering	722320	Hazardous Material	562211
		Management/Removal	
Chemicals	424690	Healthcare Services	524114
City Planning/Urban Design	541320	Heavy Construction	532412
Computer Services	541519	HVAC	238220
Computer Supplies	423430	Hydraulics	811310
Concessions (Retail Trade)	44	Insulation	238310
Concrete/Paving	238110	Insurance/Bonding	524126
Construction Management	236220	Interior Construction	236116
Construction Steel	331111	Interior Design	541410
Construction Supplies	423610	Janitorial Services	561720
Counseling	642190	Janitorial Supplies	423850
Courier/Mailing Services	492110	Landscaping	561730
Data Processing	518210	Limousine Services	485320

Business Type	NAICS	Business Type	NAICS
	Code		Code
Mapping	541360	Retail Goods & Services	45
Masonry	238140	Roofing	235610
Medical Supplies	423450	Security Services	56121
Noise Abatement	238310	Shuttle Services	485999
Office Furniture/Office	423210	Signage	541890
Supplies			
Painting/Wall Covering	238320	Special Event Planning	711310
Parking Management	812930	Stenography/Court Reporting	561492
Pest Control	561710	Telecommunication Services	541618
Photography	541922	Tents	314912
Pipelaying/Piping	237110	Towing Services	488410
Plumbing	238220	Traffic Control	541330
Pressure Cleaning	561790	Trash Removal	562111
Printing & Publishing	32311	Uniforms	315211
Professional Training	61143	Utilities Construction	541618
Promotions	541913	Valet Parking	812930
Property Management	53131	Vehicle Cleaning/Detailing Services	81192
Public Relations	541820	Vending	454210
Real Estate	531	Warehousing & Storage Services	4931
Recycling	562111	Water Meter Service/Repair	23820
Renovations	238160	Water/Sewer	562998
Retail Food	722310	Welding	811310

EQUAL BUSINESS OPPORTUNITY (EBO) CERTIFICATION AFFIDAVIT FOR

Name of Enterprise			
City of Atlanta Project Pending?	yesno	Bid Due Date:	
FC#	Name of Project:		

The information supplied herein by an authorized individual shall clearly identify and evidence the extent of minority and/or female ownership and control of this business enterprise.

All required supporting documents must be included, along with the signature of the authorized persons affixed where ever requested. This EBO Affidavit must be signed and notarized prior to evaluation by the Office of Contract Compliance.

*Note: All items on this EBO Affidavit must be completed and submitted to the Office of Contract Compliance at the same time.

Definitions:

City of Atlanta Ordinance Section 2-1443 sets out the definitions for "African American", "African American Business Enterprise" (AABE), "Asian American Business Enterprise" (ABE), "Bid", "Bidder", "Commercially Useful Function", "Controlled", "Eligible Project", "Female Business Enterprise", (FBE), "Hispanic Business Enterprise" (HBE), "Joint Venture", "Native American Business Enterprise", (NABE), "Minority Business Enterprise", (MBE).

"Minority Business Enterprise (MBE)": a business which is an independent and continuing operation for profit, performing a commercially useful function and which is owned and controlled by one or more minority group members, as defined in Section 2-1356, which group has been determined to have suffered discrimination requiring amelioration as defined in Section 2-1445(23), (24) and is certified as such by the city.

"Owned": the minority or female owner, shall possess an ownership interest of at least 51 percent of the business; such ownership shall be real and continuing and shall go beyond the mere indicia of ownership of the business reflected in the ownership documents; and the minority or female owner shall enjoy the customary incidents of ownership and shall share in the risks and profits commensurate with their ownership interests, as demonstrated by an examination of the substance, rather than the form of ownership arrangements.

"Controlled": the minority or female shall possess and exercise the legal authority and power to manage business assets, good will and daily operations of the business; and actively and continuously exercise such managerial authority and power in determining the policies an directing the operations of the business.

APPLICANT IS APPLYING FOR CERTIF	FICATION AS:	
African American Business Enterprise	(AABE)	Corporation
Female Business Enterprise (FBE)		Partnership
Hispanic Business Enterprise (HBE)		Sole Proprietor
Asian Business Enterprise (ABE)		Limited Partnership
Native American Business Enterprise	(NABE)	Limited Liability Co.
In an effort to become certified EQUAL BUSINESS OPPORTUNI following information	ITY PROGRAM, af	fiant/applicant offers the
The name of the principal, owner, partner, or o	corporate officer is:	
	Title:	
The mailing address is:		
City:County:	State:	Zip:
Telephone: ()	Fax()	
Pager: ()	Mobile #: ()
Email Address:		

A. Is the principal, owner a citizen of the United States?yesno
B. If NO, is the principal, owner a lawful permanent resident of the United States?
3.
A. Previous certification or approval as an M/FBE with the City of Atlanta?yesno
B. Previous certification or approval as an M/FBE with any other governmental agency?
C. If you answered YES to any of the above questions, please provide a copy of the respective certifications, approval letters or certificates and attach them to this EBO AFFIDAVIT.
D. Denial of certification as an M/FBE by any governmental agency?
E. If YES, submit copy of denial document.
F. Has there been participation and involvement by any of the principals in another firm wherein there has been a challenge, appeal or suspension of M/FBE certification by the City of Atlanta or any other governmental entity?
yesno
G. If YES describe the following: (a) the name of the enterprise, (b) the name of the principal, (c) whether the action was a suspension, (d) whether the enterprise filed a formal appeal, (e) the Name of the governmental agency (including phone number) and (f) the current status of the challenge, appeal and/or suspension is:

)	
TYPE	ISSUED TO	ISSUED BY	DATE ISSUED	
		5.		
The business v	was started, formed and/o_in the following manner		nt owners on	19
	Bought as existing busing	nessS	tarted as new business	
	Secured Franchise	N	lerger or consolidation	
Other Manner	; explain			<u> </u>
		_		
		6.		
	previously operated und		e provide the previous na	me and
	-			

Are the owners, parti	ners or principals of	the enterprise affil	liated with any other firm(s) a	.S
employees, sharehold	ders, directors, mem	•		
If YES, they are:		yes	_no	
Name of Person affiliated with another firm	Person's title at affiliated firm.	Name of affiliate firm.	ed Affiliated Firm Telephone Number	
				-
		8.		
The total amount of r principals and/or spo			d to the enterprise by any and s:	l all firm
Title/Name	Reason for Debt	Amount of Debt	Date Issued/Due]
				1
L	I		-	J
		9.		
		7.		
			ch the enterprise owes to any prise or any spouse or sibling	
Title/Name	Reason for t	he Deht	Date Issued/Due	7
1 ide/1 (anic	Acason for t	ne Dent	Dan Issucu/Duc	†
				1
				_

The assets of the applicant/business, including real estate holdings, trade equipment, office furnishings and office equipment include:

Description of Asset	Real Dollar Value	Type of Lien/Encumbrance upon the Property

11.

	is a	a CORPORATIO	ON , (Name of
Business Enterprise)	_		, ,
whose "Certificate of Incorporation" was issue	ed by the Georgia Se	ecretary of State of	on
. The most recent Annu	al registration and a	appropriate fee fo	r the same has
been appropriately submitted.	C		
	12.		
How many shares of stock are authorized to beP		rticles of Incorpo	oration?
How many shares have been issued as of the d	ate of this application	on?	
Are there plans to issue additional shares?	yes	no	

13.

The Shareholders of the Corporation are:

Share Holders	Ethnic Group/Sex	Age	Class of Stock	# of Shares % of Whole	Amount Paid	Date of Purchase

The name, title, sex and ethnic groups of the individuals of the business enterprise most responsible for:

Function	Name	Title	Sex	Ethnic Group
Determining what				
jobs the enterprise				
will undertake				
Project supervision				
Major				
Expenditures				
Hiring/Firing				
Personnel				
Preparing Job				
Estimates				
Submitting				
Quotations				
Reviewing Plans				
and/or				
Specifications				
Field Supervision				
Project				
Coordination				
Equipment Rental				
Leasing				
Purchasing of				
Equip. and				
Supplies				
Marketing and				
Sales				
Securing				
Insurance				
Securing Bonding				
Securing				
Employee Benefits				
Signing Surety				
Bonds				
Signing Payroll				
Checks				

A. The Members of the Board of Directors of the Corporation are:

Name	Ethnic Group/ Sex	Home Address, Telephone #	Date of Appointment	Term of Appointment

B. Does each director have an equal vote on all matters brought before the Board?yesno
If NO, the manner in which directors' votes are counted and credited.
16

The prior Members of the Board of Directors of the corporation.

Name	Ethnic Group/ Sex	Home Address, Telephone #	Date of Appointment	Term of Appointment

TD1 0	cc.	C .1		, •	
The ()	Ticers	ot th	ne Cor	poration	are.

Office Held/ Name of Officer	Ethnic Group Sex	Home address Telephone #	Date of Appointment	Term of Appointment

18.

What persons, firms, or entities have currently loaned monies to the corporation?

Source	Amount	Reason for Loan	Conditions/Terms

	19.	
Is your company bonded?	yes	no

If YES, then list the current bonding company, bonding limit, amount of any Letter of Credit, the issuing banking institution and attach a copy of bond letter.

Bonding Co./ Address	Bond Limit	Issuing Bank	Dollar Value of Letters of Credit

The Corporation's primary banking institution is:

Name of Bank	Address/City	Contact Person	Checking Acct.#

21.

A list of the annual salaries, bonuses and commissions of all corporate officers and other principles during the past 12 months (rounded to the thousands) is as follows:

Name	Title	Salary	Bonus	Comm.	Deferred Comm.	Total

If no salaries, bonuses, or commissions have been paid in the last 12 months, please provide a brief explanation:

22.

Equipment rented, leased or owned by the Corporation for business purposes is as follows:

Equipment	Rented/Lease	Name of	Lessor's	Initial and End of
Type	Own	Lessor	Phone #	Contract

23.

The following persons, firms or entities contributed equipment, finances or personnel to the Corporation:

Name of Firm	Address/City	Telephone #	Amount and type of support supplied

If YES: Name of other firm	Address	Type of Space	Relationship to Applicant/ Principle	
		25.		
A Two (2) current	customers of the	Corporation are:		
Customer		*	elephone #	
Customer		*		
Customer		Address/City Te		
Customer		Address/City Te		
Customer		Address/City Te		
Customer Description of Work		Address/City Te		

The Applicant Enterprise	·,	has
	(Name of Enterprise)	
performed as a PRIME C	CONTRACTOR and has had the occasion to	o SUBCONTRACT work to the
following firms within th	e past twelve months.	
Subcontractor Firm Ad	ldress/City Telephone # Date of	Contract
The Applicant Enterprise		has
The Applicant Enterprise	(Name of Enterprise)	
performed as a SUBCON PRIME CONTRACTOR	TRACTOR wherein the applicant's work w	was performed for the following
Prime Contractor	Address/City Telephone # Date of	Contract

The undersigned does hereby swear or affirm that the statements contained in this EQUAL BUSINESS OPPORTUNITY CERTIFICATION AFFIDAVIT and all attachments herein which have been provided in support of the foregoing application for certification are true, accurate, complete and includes all information necessary to identify and explain the ownership and operation of

Name of Business Enterprise

Further, the undersigned does covenant and agree to provide the City of Atlanta's Office of Contract Compliance with current, complete and accurate information regarding this Affidavit, its attachments or any other information deemed reasonably relevant to any project or contract issued by the City of Atlanta. The undersigned further agrees that as part of this certification procedure, OCC may freely contact any person or organization named in this application to verify statements made in this application and/or to secure additional information or data required to grant to or withhold from the applicant enterprise certification as a Minority-owned Business Enterprise or a Female Business Enterprise. The undersigned understands and agrees that failure to submit required materials and/or to consent to interview(s); audit(s); and/or examination(s) will be grounds for immediate rejection of this application for certification or re-certification. It is recognized and acknowledged that the statements contained in this application are being given under oath and that any material misrepresentation shall be construed and deemed to be subject to Section 17-11012 of the City of Atlanta's Criminal code of Ordinances in addition to being grounds for denial of certification or for de-certification and may result in the denial of an award or the termination of contract which may have been awarded as a result of the information contained in this application.

The undersigned further acknowledges that information contained in this application may be shared with any public department or agency so long as the sharing of such information is in reasonable furtherance of the OCC investigation. It is further understood that certification will be revoked if after proper investigation by OCC, the applicant is determined to be engaging in activities which circumvent the intent of the EBO Program.

PROHIBITIONS AGAINST FALSE AND FRAUDULENT REPRESENTATIONS TO THE CITY

Pursuant to Atlanta City Code Section 106-90, it shall be unlawful for any person, knowingly and willfully and with intent thereby to mislead either on such person's own behalf or on behalf of others, as principal or agent, to make or file orally or in writing any false representations of fact to any department of City government. The City will impose applicable penalties and sanctions against any person making such false representation in connection with the City's Equal Business Opportunity Program. In addition, the City will seek all available remedies under Georgia and Federal statutes against any person who knowingly, willfully or fraudulently attempts to obtain certification as a minority or female business enterprise.

TTESTATION: I CERTIFY THAT ALL REPRESENTATIONS IN THIS CONTRA	ACT
MPLOYMENT REPORT ARE CORRECT AS OF THE DATE STATED. THE UNDERSIGN	1ED
URTHER ACKNOWLEDGES THAT CERTIFICATION IS NORMALLY REVIEWED EVE	ERY
WO YEARS, HOWEVER, THE OFFICE OF CONTRACT COMPLIANCE RETAINS T	ГНЕ
IGHT TO RE-EVALUATE THE CONTENTS OF THIS APPLICATION AT ANYTIME. T	ГНЕ
INDERSIGNED ALSO SWEARS OR AFFIRMS THAT THE COPIES OF THE RECOF	RDS
WHICH ARE ATTACHED HERETO AND IDENTIFIED WITH ALPHABETIZED TABS A	4RE
RUE AND CORRECT COPIES OF THE BUSINESS RECORDS AS MAINTAINED BY T	ГНЕ
INDERSIGNED ON BEHALF OF	

(Name of Enterprise)
The undersigned further acknowledges that certification is normally reviewed every two years however, OCC retains the right to re-evaluate the contents of this application at any time.
Name of Person Signing: (Print)
Title of Person Signing: (Print)
Signature: (Must match name of person signing)
Notary Public (Must exhibit seal and stamp to be acceptable)

CITY OF ATLANTA Contract Employment Report

PLEASE TYPE OR PRINT IN INK. EACH APPLICABLE ITIEM ON THIS FORM MUST BE COMPLETED. $\underline{INCOMPLETE\ FORMS\ WILL\ NOT\ BE\ PROCESSED.}$

NAME OF FIRM:						TELEPHONE NO.:					
STATE:	COUNTY:					ZIP CODE:					
PLEASE COMPLI	ETE THE	FOLLOW	VING INF	ORMATIO	N:						
WHAT TYPE OF I	BUSINES	S WOULD	YOUR C	COMPANY	BE ENG	AGED IN	WITH THI	E CITY OF A	TLANT	A ?	
IS YOUR COMPA	NY AN A	FFILIATE	E OR DIV	ISION OF A	A PAREN	T COMPA	ANY?				
IF YOUR COMPA MUST BE COMPL										FORM	
HAS YOUR COMI	PANY PR	EVIOUSL	Y RECEI	VED AN EI	EO CERT	TIFICTION	I FROM TI	HE CITY OF	ATLAN	TA?	
	PLEAS	E LIST T	HE NUM	BER OF E	MPLOY	EES IN EA	ACH CAT	EGORY			
		gement/ cials	Professionals Arch, Engineers, etc		Supervisors		Office/Clerical/Sales		Craftsmen/ Laborers		
	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	
Black											
White											
Asian American											
Native American											
Hispanic											
Other											
TOTAL											
I CERTIFY THAT CORRECT AS OI				S ON THIS	S CONTI	RACT EM	PLOYME	NT REPORT	Γ FORM	I ARE	
DATE	PRINT PREPARER'S NAME		ME	PREPARER'S SIGNATURE TITLE				TITLE			